



6351-01-P

COMMODITY FUTURES TRADING COMMISSION

Sunshine Act Meetings

TIME AND DATE: 10:00 a.m., Monday, November 25, 2019.

PLACE: CFTC Headquarters, Lobby-Level Hearing Room, Three Lafayette Centre, 1155 21st Street, NW, Washington, DC.

STATUS: Open.

MATTERS TO BE CONSIDERED: The Commodity Futures Trading Commission (“Commission” or “CFTC”) will hold this meeting to consider the following matters:

1. Final Rules – Amendments to Part 4: Registration and Compliance

Requirements for Commodity Pool Operators and Commodity Trading Advisors:

- Amendments to Rules 4.7, 4.13, and 4.14 (Codification of Relief for Family Offices and Relief Related to the JOBS Act);

- Amendments to Rules 4.5 and 4.27 (Updating Exclusions and Adding Reporting Relief); and

2. Proposed Rule – Amendments to Swap Clearing Requirement Exemptions under Part 50.

The agenda for this meeting will be available to the public and posted on the Commission’s website at <https://www.cftc.gov>. In the event that the time, date, or place of this meeting changes, an announcement of the change, along with the new time, date, or place of the meeting, will be posted on the Commission’s website.

CONTACT PERSON FOR MORE INFORMATION: Christopher Kirkpatrick, Secretary of the Commission, 202-418-5964.

Authority: 5 U.S.C. 552b.

Dated: November 15, 2019.

Christopher Kirkpatrick,

Secretary of the Commission.

[FR Doc. 2019-25158 Filed: 11/15/2019 4:15 pm; Publication Date: 11/19/2019]